

# CYCLE TRANSFORMATIONS AND CDR — A UNIFYING CONCEPT

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# OVERVIEW

## Introduction

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- Context Directed Reversals (CDR)

- Context Directed Swaps (CDS)

- Sortability Definitions

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## Algebra and Sorting

- Inverses

- Composition

- Reachability

## Cycle Transformations

- Strategic Piles and Stacks

- Duals

- Sortability Criterion

## Future Directions

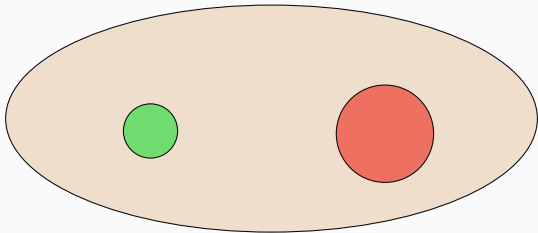
## INTRODUCTION

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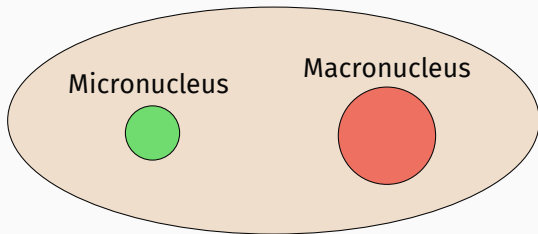
# MOTIVATION: CILIATES



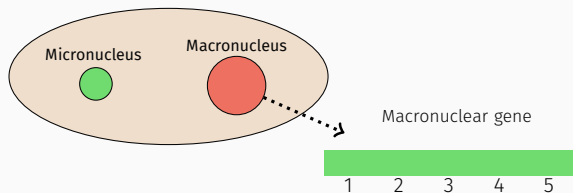
# WHAT ARE CILIATES?



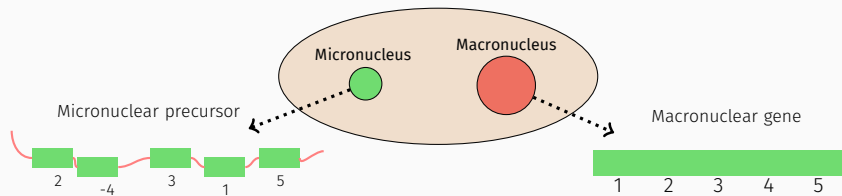
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# DNA SORTING VIA CONTEXT DIRECTED REVERSALS

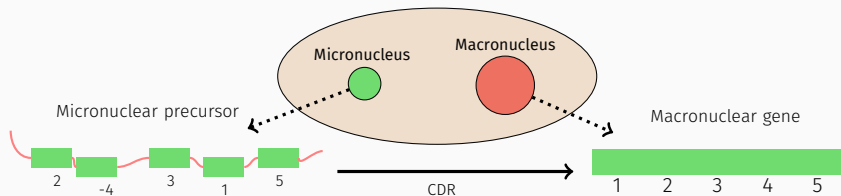


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To simplify the sorting process, we add **pointers** to each element of the signed permutation.

$$\pi = \left[ (1,2)2_{(2,3)} \quad -(4,5) - 4_{-(3,4)} \quad (2,3)3_{(3,4)} \quad 1_{(1,2)} \quad (4,5)5 \right]$$

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$$\pi = \left[ -(2,3) - 2 \text{-(1,2)} \quad \text{-(1,2)} - 1 \quad (2,3)3(3,4) \quad (3,4)4(4,5) \quad (4,5)5 \right]$$

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$$\pi = \left[ \textcolor{red}{-(2,3)} - 2_{-(1,2)} \quad -(1,2)^{-1} \quad \textcolor{red}{(2,3)}^3_{(3,4)} \quad (3,4)^4_{(4,5)} \quad (4,5)^5 \right]$$

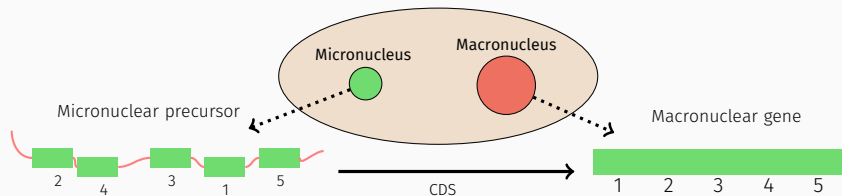
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$$\pi = \left[ 1_{(1,2)} \quad (1,2)2_{(2,3)} \quad (2,3)3_{(3,4)} \quad (3,4)4_{(4,5)} \quad (4,5)5 \right]$$

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## CDR (CDS) Fixed Point

A signed permutation that has **no valid CDR (CDS) moves** available.

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- Otherwise,  $\pi$  is **non-sortable**.

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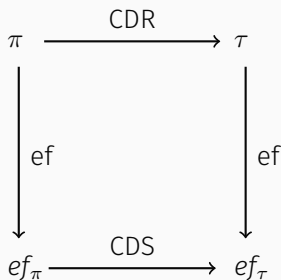
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# ILLUSTRATING CDR IN THE EXTENDED FORM

As you can see, CDR in the extended form is a constrained version of CDS.



# ALGEBRA AND SORTING

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## Definition

For  $i \in \{1, \dots, n\}$  and  $\pi$  a signed permutation of degree  $n$ , we say that  $i$  is **negative in  $\pi$**  if  $i$  appears to the left of 0 in  $ef_\pi$ . Otherwise, we say  $i$  is **positive in  $\pi$** .

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For  $i, j \in \{1, \dots, n\}$  and  $\pi \in B_n$ , we say that there is an  $i, j$  **sign change** in  $\pi$  if exactly one of the two is negative in  $\pi$ . (Equivalently, we say this if the two appear on opposite sides of 0 in  $ef_\pi$ .)

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We study how sign changes and moves in  $\pi$  relate to those in  $\pi^{-1}$ .

## Theorem

*Let  $\pi$  be an arbitrary signed permutation. There is an  $(x, x + 1)$  pointer move in  $\pi^{-1}$  if, and only if, there is a  $\pi(x), \pi(x + 1)$  sign change in  $\pi$ .*



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We study how for  $\pi_1, \pi_2$ , moves and sign changes in both affect available moves in  $\pi_2 \circ \pi_1$ .

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*Let  $\pi_1, \pi_2$  be arbitrary signed permutations of degree  $n$ . Let  $x$  be an element in  $\{1, \dots, n\}$ . Let  $i, j \in \{1, \dots, n\}$  be such that  $\pi_2(i) = \pm x$ ,  $\pi_2(j) = \pm(x + 1)$ . Then we have the following:*

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1. Suppose there is a CDR move on the  $(x, x + 1)$  pointer in  $\pi_2$ , then there is a CDR move on the  $(x, x + 1)$  pointer in  $\pi_2 \circ \pi_1$  if and only if there is no  $i, j$  sign change in  $\pi_1$ .

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2. Suppose there is no CDR move on the  $(x, x + 1)$  pointer in  $\pi_2$ , then there is a CDR move on the  $(x, x + 1)$  pointer in  $\pi_2 \circ \pi_1$  if and only if there is an  $i, j$  sign change in  $\pi_1$ .

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We have reduced the problem of reachability to one of sortability when considering whether  $\pi$  can reach a fixed point.

## Theorem (Reachability)

*Let  $\pi$  be an arbitrary signed permutation. Let  $f$  be a CDR fixed point. Then  $f$  is CDR reachable from  $\pi$  if and only if  $f^{-1}\pi$  is CDR sortable.*

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Note that this collapses the problem of reachability to a problem of sortability.

## CYCLE TRANSFORMATIONS

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$$D_\pi = (0 \quad 6 \quad 5 \quad -4 \quad 1) (-1 \quad -2 \quad 3 \quad -6 \quad -7) (2 \quad -5) (-3 \quad 4)$$

# STRATEGIC STACK EXAMPLE

$$\text{Let } \pi = [2 \quad -4 \quad 6 \quad 1 \quad -3 \quad 5]$$

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The strategic stack of this example for  $\pi = [2 \quad -4 \quad 6 \quad 1 \quad -3 \quad 5]$  is the set:  $\{5, -4, 1\}$ , appearing in the first cycle of  $D_\pi$  after  $n = 6$ .

## Theorem

*For a given element  $i$  of a signed permutation  $\pi$ , doing a CDR move on the  $(i, i + 1)$  pointer results in  $i$  and  $-(i + 1)$  being removed from their respective cycles in  $D_\pi$ .*

# REMOVING ELEMENTS FROM THE CYCLE TRANSFORMATION

## Theorem

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## Theorem

*The number of elements that can be removed from the strategic stack through a given CDR move is either 0 or 1.*

## Definition: Dual

The **dual** of an element  $i$ , denoted  $d(i)$ , is the element  $-(i + 1)$ .

## Dual Mapping Theorem

For a given signed permutation,  $\pi$ , an element  $i$  maps to  $x$  in  $D_\pi$  if and only if  $d(x)$  maps to  $d(i)$ .

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## Dual Separation Theorem

The element  $i$  and its dual **can not** appear in the same cycle of  $D_\pi$ .

## Definition: Dual Cycle

For a cycle transformation  $D_\pi$ , the **dual cycle** of a cycle  $\phi$  contained in  $D_\pi$ , where  $\phi = (a_1 \dots a_k)$ , is the cycle of the duals of each element of  $\phi$ , denoted,  $d(\phi)$ , where  $d(\phi) = (d(a_k) \dots d(a_1))$ .

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## Dual Cycle Theorem

A cycle  $\phi = (a_1 \dots a_k)$  is contained in  $D_\pi$  if, and only if, the **dual cycle** of  $\phi$ ,  $d(\phi) = (d(a_k) \dots d(a_1))$ , is contained in  $D_\pi$ .

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Suppose the cycle transformation of some signed permutation  $\pi$ ,  $D_\pi$ , contains the cycle  $\phi$ , where:

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$$\begin{aligned}d(\phi) &= (d(0) \ d(5) \ d(-8) \ d(2) \ d(-5) \ d(3)) \\ &= (-1 \ -6 \ 7 \ -3 \ 4 \ -4).\end{aligned}$$

# CYCLE TRANSFORMATION SORTABILITY CRITERIA

Let  $\alpha_\pi$  be the cycle in  $D_\pi$  containing 0 and let  $\beta_\pi$  be the cycle in  $D_\pi$  containing  $n$ .

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- (b) *If  $\alpha_\pi = d(\beta_\pi)$ , then  $\pi$  is mixed reverse sortable.*



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- (c) *If  $\alpha_\pi \neq \beta_\pi$  and  $\alpha_\pi \neq d(\beta_\pi)$ , then  $\pi$  is mixed sortable.*

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- (c) *If  $\alpha_\pi \neq \beta_\pi$  and  $\alpha_\pi \neq d(\beta_\pi)$ , then  $\pi$  is mixed sortable.*
- (d) *If there exists in  $D_\pi$  a cycle of nonnegative elements which appear in  $\pi$  (including zero), then  $\pi$  is not CDR sortable.*

## FUTURE DIRECTIONS

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- Expand Reachability Theorem to arbitrary pairs of signed permutations

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- Prove converse of item (d) of Classification Theorem to get a full sortability-reachability criterion
- Use results to study games and determine winning strategies

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