

Is $\square(\omega + 1)^\omega$ paracompact?

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1. Preliminaries

$\square_{i \in I} X_i$: base is all $\prod_{i \in I} u_i$, each u_i open

Very Old Results

1. $\square_{n < \omega} X_n$ is paracompact if

(Williams) $\mathfrak{d} = \omega_1$ and each X_n compact with weight $\leq \omega_1$

(van Douwen) $\mathfrak{b} = d$ and each X_n compact metrizable

(Roitman) $\mathfrak{d} = \mathfrak{c}$ or add cofinally many Cohen reals and each X_n compact first countable.

2. (Lawrence) $\square(\omega + 1)^{\omega_1}$ not normal.

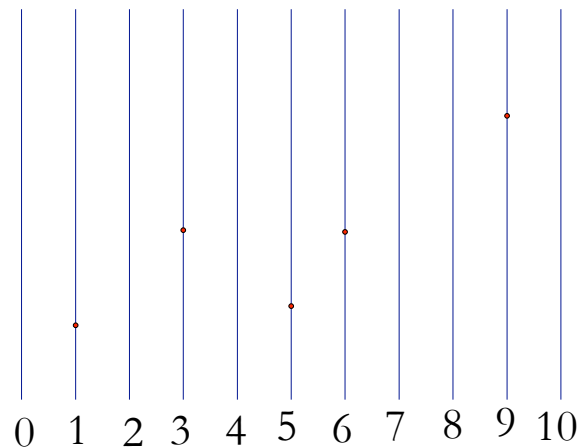
3. (Kunen, van Douwen) $X \times \square(\omega + 1)^\omega$ need not be normal if $\chi(X)$ or $|X|$ large or X not the right kind of compact.

Hence focus on $\square(\omega + 1)^\omega$.

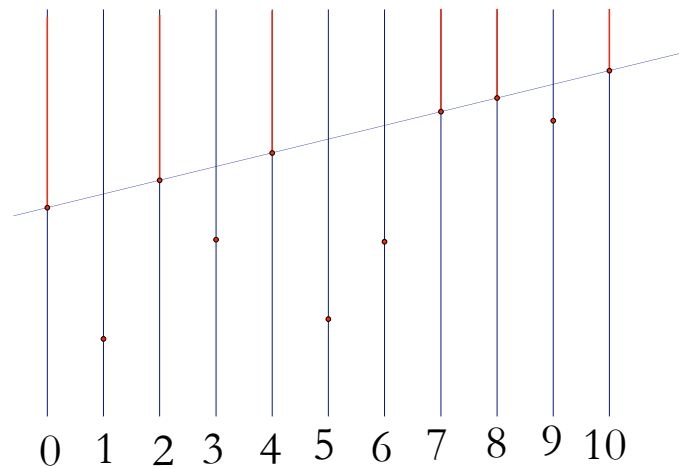
$\nabla(\omega + 1)^\omega$ is $\square(\omega + 1)^\omega / =^*$

$P = \{x: x \text{ a partial function from } \omega \text{ to } \omega \text{ with}$
 $\text{dom } x \text{ infinite co-infinite}\}$

A typical function in P :



Neighborhoods $N(x, f) = \{y \in P : y \supset^* x \text{ and } (y \setminus x) >^* f\}$.



P not even T_0 , but fine transversals (= transversal with respect to $=^*$) are T_2 .

Theorem: $\square(\omega + 1)^\omega$ is paracompact iff $\nabla(\omega + 1)^\omega$ is ultraparacompact (upc) iff every fine transversal of P is ultraparacompact (= every open cover has a pairwise disjoint covering refinement).

So we search for subsets X of P whose fine transversals are upc subspaces of P (= every open cover of P has a pairwise disjoint refinement covering X — stronger than “upc + subspace”).

2. Strongly discrete subspaces

Y strongly discrete iff it has a discrete open cover $\{u_y : y \in Y\}$.

Theorem. If, for each $\alpha < \mathfrak{b}$, each P_α is closed strongly discrete in $P \setminus \bigcup_{\beta < \alpha} P_\beta$, then $\bigcup_{\alpha < \mathfrak{b}} P_\alpha$ is ultraparacompact.

Theorem. Any fine transversal of the following subspaces of P is closed strongly discrete:

$B = \{x \in P : x \text{ is bounded below some constant function}\}$

$$Q_f = \{x \in P : x \leq^* f\}$$

$$Q_z = \{x \in P : x \subseteq^* z\}.$$

These two theorems already give us a lot of upc subspaces of P .

3. \approx_{\perp}

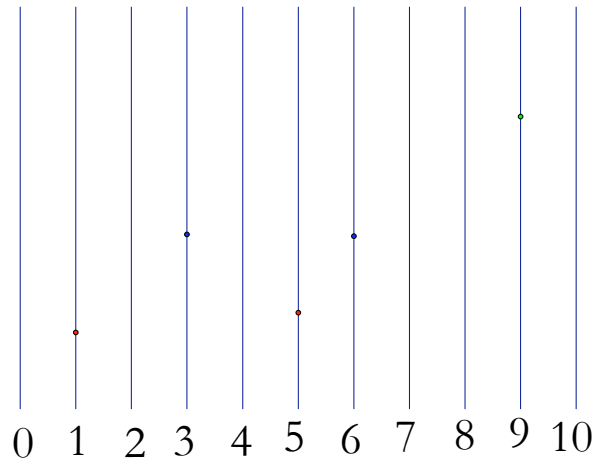
The main tool is equivalence relations coarser than $=^*$. The search is for equivalence relations \approx so that an \approx -transversal is a upc subspace.

The first one is \approx_{\perp} .

$x_{\perp} = \{(n, x(n)) : \forall m > n \text{ if } m \in \text{dom } x \text{ then } x(n) \leq x(m)\}$.

$$x_0 = x_{\perp}$$

$$x_{n+1} = (x \setminus \bigcup_{m \leq n} x_m)_{\perp}$$



$$x \approx_{\perp} y \text{ iff } \forall n \ x_n =^* y_n$$

$$P_n = \{x : x_{n+1} \text{ is finite}\}$$

$$P_{\omega} = P \setminus \bigcup_{n < \omega} P_n.$$

Fact A \approx_{\perp} -transversal of P_n is closed strongly separated in $P \setminus \bigcup_{m < n} P_m$.

Fact A \approx_{\perp} -transversal of P_{ω} is closed strongly separated in $P \setminus \bigcup_{m < \omega} P_m$.

Corollary A \approx_{\perp} -transversal of P is a upc subspace of P .

4. $\approx_{\vec{k}}$

$\vec{k} = \{k_\alpha : \alpha < \mathfrak{b}\}$ is an

unbounded

increasing mod finite

family of increasing functions in ω^ω

Fix $x \in P$.

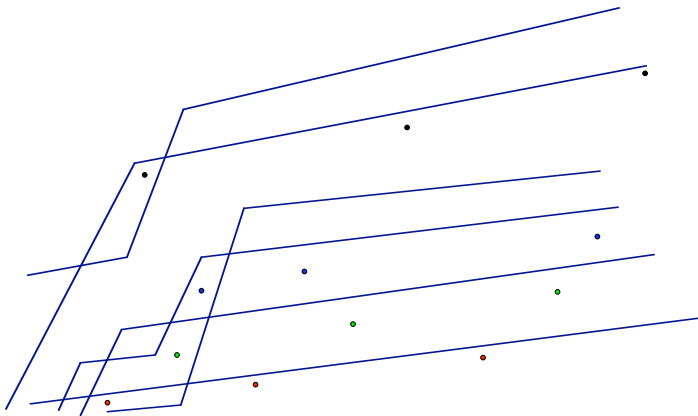
$\beta_{x,0} = \text{least } \beta \text{ with } x \not\prec^* k_\beta$

$x_{\beta_{x,0}} = \{(n, x(n)) : x(n) < k_{\beta_{x,0}}(n)\}$.

For $\alpha < \omega_1$,

$\beta_{x,\alpha} = \text{least } \beta \text{ with } (x \setminus \bigcup_{\gamma < \alpha} x_{\beta_{x,\gamma}}) \not\prec^* k_\beta$.

$x_{\beta_{x,\alpha}} = \{(n, x(n)) : x(n) < k_{\beta_{x,\alpha}}(n)\}$.



$$E_x = \{\beta_{x,\alpha} : \alpha < \omega_1\}$$

For $\beta = \beta_{x,\alpha}$ we write x_β instead of $x_{\beta_{x,\alpha}}$.

$x \approx_{\vec{k}} y$ iff

$$E_x = E_y$$

$$\forall \beta \in E_x \ x_\beta =^* y_\beta.$$

$$P_\alpha = \{x : E_x \text{ has order type } \alpha\}.$$

Fact A $\approx_{\vec{k}}$ -transversal of P_α is closed strongly separated in $P \setminus \bigcup_{\beta < \alpha} P_\beta$.

Corollary A $\approx_{\vec{k}}$ -transversal of P is a upc subspace of P .

5. Getting finer equivalence relations

Suppose \approx has some sufficiently nice properties
(not defined here)

[these properties make a \approx -transversal a
upc subspace]

We refine \approx as follows:

Let Y be a \approx -transversal.

For $y \in Y$ define \approx_y on $\{x : x \approx y\}$:

$x \approx_y z$ iff

$$x \setminus y \approx z \setminus y$$

$$x \cap y =^* z \cap y$$

$$\approx_y = \bigcup_{y \in Y} \approx_y.$$

Fact $Y \cup Y_1$ is a upc subspace of P if Y_1 is a \approx_Y -transversal.

Here is the notation we need to iterate:

$$\approx = \approx_0; Y = Y_0$$

$$\approx_{\alpha+1} = (\approx_\alpha)_{Y_\alpha}; \text{ where } Y_\alpha \text{ is a } \approx_\alpha\text{-transversal.}$$

At limits, take intersections: $x \approx_\alpha y$ iff

$$\forall \beta < \alpha \ x \approx_\beta y$$

We write \approx_α for this equivalence relation.
tion.

Theorem If \approx is sufficiently nice, and, for each $\alpha < \mathfrak{b}$, \approx_α, Y_α as above, then $\bigcup_{\alpha < \mathfrak{b}} Y_\alpha$ is a upc subspace of P .